



RHB Investment Bank Berhad 19663-P

(A Participating Organisation of Bursa Malaysia Securities Berhad)
(A Trading Participant of Bursa Malaysia Derivatives Berhad)
Level 10, Tower One, RHB Centre, Jalan Tun Razak, 50400 Kuala Lumpur, Malaysia.
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For Office Use Only

Form fields for CIF No., Trading Account No., DR Code, CDS No., Date Opened, Date Received, and New IDSS checkbox.

INTRADAY SHORT SELLING (IDSS) APPLICATION FORM

A) CLIENT'S PARTICULARS

Form fields for Name and NRIC / Passport / Business Reg.

B) DECLARATION AND ACKNOWLEDGEMENT

I/We would like to conduct intraday short selling of the Approved Securities prescribed and traded on the on Bursa Malaysia Securities Berhad ("BMSB/the Exchange") via my/our above Trading Account.
In consideration of you agreeing to the said request, I/we hereby agree to be bound and/or continued to be bound by the following terms and conditions :-
1. The terms and condition for trading in equities as set in "Terms and Conditions - Equities" for the account opening application for trading in securities that I/we have executed.
2. I/We hereby agreed to fully comply with the Rules of BMSB in relation to the IDSS that it refers to the short selling of Approved Securities with a view to closing off the short position within the same day, if the same is carried out in accordance with Part D of Chapter 8 of the Rules of BMSB.
3. I/We have executed an Intraday Short Selling Risk Disclosure Statement and Securities Borrowing & Lending Agreement as prescribed by the Exchange.
4. That I/we are not associated with the body corporate that issued or made available the Approved Securities in relation to which an order for short sale is to be entered.
5. In the event that any open position on IDSS are not closed by 4.30PM on the same market day or such other time decided by RHBIB without notice to me/us, I/we hereby authorize RHBIB to force purchase the securities without notice to me/us at any price that RHBIB deems fit. I/We further agree that RHBIB shall not be liable for any losses or damages or whatsoever nature and howsoever arising therefrom (not applicable to clients who are regarded as "Non-Retail Client" and fall under the categories as prescribed in Appendix 2 of Bursa Malaysia Securities Berhad Directive on Intraday Short Selling)
6. I/We acknowledge that there may be circumstances due to market conditions or any other conditions beyond RHBIB's control wherein RHBIB may not be in the position to force purchase the securities on the same market day. In such instance, I/we agree to accept any price fluctuation and or movement arising therefrom and further agree to absorb all charges resulting from such incident and/or any subsequent buying-in thereafter. I/We further acknowledge that RHBIB shall not be held liable in any manner whatsoever in relation to the foregoing.
7. That I/we agree that the Exchange may suspend any order entry into the order book in the Automated Trading System (ATS) for any further short sale of the Day Trading Eligible Securities of an Issuer, where the Day Trading Eligible Securities is also an Approved Securities if suspension is imposed against the carrying out of Regulated Short Selling on an Approved Securities in accordance with Part C of Chapter 8 of BMSB rules or intraday Short Selling on an Approved Securities in accordance with Part D of Chapter 8 of Rules of BMSB.
8. That I/we agree that where the Day Trading Eligible Securities are shares of the issuer, the suspension imposed on the Day Trading Eligible Securities is also applicable to a) all classes of securities other than shares issued by the issuer and b) all securities issued by any issuer where the underlying instrument of the securities issued comprise solely the shares of an issuer.
9. Unless directed otherwise by the Exchange the suspension imposed on the Day Trading Eligible Securities will be removed concurrently when the suspension imposed against the carrying out of Regulated Short selling or intraday short selling is uplifted.
10. I/We am/are not permitted to execute any short sale or day trading where the Participating Organization is associated with the body corporate that issued or made available the Day Trading Eligible Securities.
11. I/We agree that RHBIB may stop accepting IDSS order after 4pm on market day or such other time decided by RHBIB without notice to me/us.
12. I/We hereby agreed to conduct trading in a proper manner and abide by the rules and regulations of the authorities and the terms and condition of RHBIB. Failure to do so may result in revocation of my /our trading of IDSS and/or the trading account being suspended.
13. Notwithstanding to the above, RHBIB reserves the right to restrict / revoke short selling of any Approved Securities from time to time at its absolute discretion.

C) INTRADAY SHORT SELLING RISK DISCLOSURE STATEMENT

- 1. This statement is provided to you in accordance with the directives of the Rules of Bursa Malaysia Securities Berhad ("Rules of Bursa Securities").
2. This statement does not apply to clients who are regarded as "Non-Retail Client" and fall under the categories as prescribed in Appendix 2 of Bursa Malaysia Securities Berhad Directive on Intraday Short Selling.
3. Intraday Short Selling refers to the short selling of Approved Securities with a view to closing off the short position within the same day, if the same is carried out in accordance with Part D of Chapter 8 of the Rules of Bursa Securities.
4. You should have full understanding of the requirements pertaining to Intraday Short Selling before engaging in the same. You should evaluate your own financial position, risk tolerance and investment experiences while taking into account the following risk factors before engaging in Intraday Short Selling.
5. Types of risk:
A. Investment risk: You should assess the investment risks arising from price fluctuation if you choose to engage in Intraday Short Selling. In the event the securities price increases instead of decreases, Intraday Short Selling may lead to extraordinary losses, because you may have to purchase the securities at a very high price in order to cover a short position.
B. Trading costs: You should understand the trading costs resulting from frequent trading activities.
C. Risk of failure to close off with a buy position on the same day as the short selling:
(i) You should assess the securities you will need to purchase to close off the short position before the end of day, and may need to prepare sufficient funds for settlement in the event of the failure of opposite offsetting. The funds include but not limited to the cost of borrowing and the cost to purchase securities above the market price in the event of a buying-in for the settlement of an uncovered position.
(ii) It may become difficult for you to buy back the securities to close off a sell position at the end of a trading day. Failure to close off the sell position will be deemed as non-compliance with the Rules and appropriate enforcement action may be taken against you.
(iii) Any limit established pursuant to the short position is strictly for the purpose to close the sell position only. Utilizing this limit purpose other than the purpose stated herein will be deemed as violation and may result in revocation of your trading of IDSS and/or the trading account being suspended.

6. This brief statement cannot disclose all the risks and other significant aspects of executing Intraday Short Selling. You should carefully study the requirements pertaining to Intraday Short Selling, gain an understanding of other possible affecting factors, and perform a well-thought financial planning and risk evaluation before engaging in this activity. If you are in doubt in relation to any aspect of this statement, you should consult your Participating Organization.

D) ACKNOWLEDGEMENT OF RECEIPT OF THIS INTRADAY SHORT SELLING TERMS & CONDITIONS & RISK DISCLOSURE STATEMENT

I/We acknowledge that I/we have received a copy of the INTRADAY SHORT SELLING RISK DISCLOSURE STATEMENT and understand its contents which have been explained to me/us. I/We further agree to accept the terms and conditions of the Intra Day Short Selling.

Authorised Signature / Company Seal		Dealer's Representative Signature as Witness	
Name of Client		Name & DR's Code	
Date		Date	

Note: The word "We" on above mention refer to Corporate Application.

Branch:	
Date :	

ASSESSMENT FOR INTRADAY SHORT SELLING (IDSS) REQUEST

PART A – COMPLETED BY IBGCC

Client's Information

Client's Name	:		Account Opening Date	:		
CDS Acc No	:		Account Type	:	A / C / E / F / G / H / U	
Trading Acc No	:		Islamic	:	Y / N	
Date of Birth / Age	:	/	years old	Leap Market	:	Y / N
Occupation	:		Trading Limit	:	RM	
Monthly Income	:	RM	Capped Limit	:	RM	
Trust	:	RM	CBT Limit	:	RM	
Paid Shares	:	RM	Cash Multiple	:	Time(s)	
Net Outstanding Position	:	RM	Share Multiple	:	Time(s)	
Outstanding Purchases	:	RM	YTD Turnover	:	RM	
Outstanding Contra Losses	:	RM	Credit Risk Score	:		

Dealer's Representative Information

Dealer Code	:		Dealer Name	:	
Join Date	:		O/S Indebtedness	:	RM
Net Security Deposit	:	RM	YTD Turnover	:	RM
User Multiples Accorded	:	RM	Credit Risk Score / Class	:	/

Comments:

Recommendations:

Account Type	A / C / E / F / G / H / U		
Trading Limit	RM	Cash Multiple	Time(s)
Capped Limit	RM	Share Multiple	Time(s)
IDSS Capped Limit	RM	CBT Limit	RM

Decision (Circle whichever is applicable)		Approved		Rejected	
	Signature	Date		Signature	Date
Reviewed By :			Updated By :		
Approved By :			Checked By :		

PART B – COMPLETED BY OPERATIONS

	Signature		Date
Received By :			
	Signature		Date
Updated in System By :			