



RHB Investment Bank Berhad 19663-P

(A Participating Organisation of Bursa Malaysia Securities Berhad)
(A Trading Participant of Bursa Malaysia Derivatives Berhad)
Level 10, Tower One, RHB Centre, Jalan Tun Razak, 50400 Kuala Lumpur, Malaysia.
Tel : +603 9285 2233 Fax : +603 9284 7658

For Office Use Only

Client's CIF No.

Spouse / Joint Applicant's CIF No.

Submission by Dealer's Representative/Client/ Others (Please specify) : _____

RHB Staff ID & Name : _____

RHB Staff Department : _____

Date Updated - -

UPDATING OF CLIENT'S PARTICULARS

INSTRUCTIONS FOR COMPLETION OF APPLICATION FORM

- Tick where applicable.
- Certified true copy of supporting documents shall be submitted by clients when updating their particulars with RHB Investment Bank Berhad ("RHBIB")

CLIENT PARTICULARS (Compulsory)

Existing Name
(As per NRIC/Passport/Business Registration)

NRIC / Passport / Registration. No. Old NRIC No.

TYPE OF ACCOUNT (Please select the account(s) that require changes)

Equities Trading Account

Margin Account

Derivatives Trading Account

Account Number

INDIVIDUAL / CORPORATE DATA (Please fill in where necessary)

New Name
(As per NRIC/Passport/Business Registration)

New NRIC / MYPRID / Registration No. Passport / Old NRIC No.

Permanent / Registered Address
(As per NRIC / Business Registration)

Postcode State Country

Correspondence Address
(If different from above)

Postcode State Country

Contact Details

Home No. - Facsimile No. -

Office No. - Handphone No. -

Email Address

Bank Account Details:

Bank Name

Account No.

Account Name

Account Type

1. Personal Data

Date of birth - -

Gender Male Female

Salutation Mr. Ms. Datuk Dato' Datin

Dr. Other, please specify _____

Nationality Malaysian Other, Country of Origin _____

Residency Status Resident Non Resident

Permanent Resident Yes, please specify (i) PRID _____ No
(ii) PR Country _____

Marital Status Married Divorced Widowed

Occupation Years in Employment Business

Employment Type Employed (Private/Gov) Reporting Entity/Staff Self-Employed Unpaid Family Worker Unemployed

Outside the Labour Force (Retiree/Student/Freelancer/Housewife) Owner Other, please specify _____

FOR INDIVIDUAL ONLY (Please fill in where necessary)

Name of Employer / Company

Address of Employer / Company

Postcode State Country

Employment Sector
(Refer to Masco's Code, please specify)*

Nature of Business
(Malaysia Standard Industry Code - MSIC)

- | | | |
|--|---|--|
| <input type="checkbox"/> Construction | <input type="checkbox"/> Education | <input type="checkbox"/> Agriculture, Forestry and Fishing |
| <input type="checkbox"/> Mining and Quarrying | <input type="checkbox"/> Manufacturing | <input type="checkbox"/> Human Health and Social Work Activities |
| <input type="checkbox"/> Transportation and Storage | <input type="checkbox"/> Real Estate Activities | <input type="checkbox"/> Financial and Insurance / Takaful Activities |
| <input type="checkbox"/> Arts, Entertainment and Recreation | <input type="checkbox"/> Information and Communication | <input type="checkbox"/> Administrative and Support Service Activities |
| <input type="checkbox"/> Other Service Activities | <input type="checkbox"/> Accommodation and Food Service Activities | <input type="checkbox"/> Professional, Scientific and Technical Activities |
| <input type="checkbox"/> Public Administration and Defense; Compulsory Social Security | <input type="checkbox"/> Electricity, Gas, Steam and Air Conditioning Supply | <input type="checkbox"/> Water Supply; Sewerage, Waste Management and Remediation Activities |
| <input type="checkbox"/> Activities of Households as Employers; Undifferentiated Goods and Services Producing Activities of Households for Own Use | <input type="checkbox"/> Wholesale and Retail Trade; Repair of Motor Vehicles and Motorcycles | <input type="checkbox"/> Activities of Extraterritorial Organizations and Bodies |

Please specify detail MSIC Code*

Monthly Income

- | | | |
|---|--|--|
| <input type="checkbox"/> RM2,000 and below | <input type="checkbox"/> RM2,001 - RM4,000 | <input type="checkbox"/> RM4,001 - RM6,000 |
| <input type="checkbox"/> RM6,001 - RM10,000 | <input type="checkbox"/> RM10,001 - RM25,000 | <input type="checkbox"/> RM25,001 - RM50,000 |
| <input type="checkbox"/> RM50,001 - RM100,000 | <input type="checkbox"/> RM101,000 and above | |

Estimated Net Worth

- | | | |
|--|--|--|
| <input type="checkbox"/> RM50,000 and below | <input type="checkbox"/> RM50,001 - RM100,000 | <input type="checkbox"/> RM100,001 - RM200,000 |
| <input type="checkbox"/> RM200,001 - RM500,000 | <input type="checkbox"/> RM500,001 - RM1,000,000 | <input type="checkbox"/> RM1,000,001 - RM2,999,999 |
| <input type="checkbox"/> RM3,000,000 and above | | |

Source of Funds

- | | | |
|--------------------------------------|---|---|
| <input type="checkbox"/> Employment | <input type="checkbox"/> Business | <input type="checkbox"/> Investment Returns |
| <input type="checkbox"/> Inheritance | <input type="checkbox"/> Others, please specify _____ | |

2. Spouse / Joint Applicant Data

Relationship with Account Holder

- Spouse Joint Applicant, please specify relationship _____

Name

(As per NRIC / Passport)

New NRIC / MYPRID

Passport / Old NRIC No

Salutation

- Mr. Ms. Datuk Dato' Datin
 Dr. Other, please specify _____

Nationality

- Malaysian Other, Country of Origin _____

Residency Status

- Resident Non Resident

Permanent Resident

- Yes, please specify (i) PRID _____ No
(ii) PR Country _____

Marital Status

- Married Divorced Widowed

Occupation

Years in Employment Business

Employment Type

- Employed (Private/Gov) Reporting Entity/Staff Self-Employed Unpaid Family Worker Unemployed
 Outside the Labour Force Owner Other, please specify _____
(Retiree/Student/Freelancer/Housewife)

Name of Employer / Company

Address of Employer / Company

Postcode State Country

Contact Details

Home No. - Facsimile No. -

Office No. - Handphone No. -

Email Address

Employment Sector

(Refer to Masco's Code, please specify)*

*Please refer to Dealer's Representative for assistance to complete the necessary

CONSENT AND ACKNOWLEDGEMENT ON PERSONAL DATA

You understand that RHBIB may use, collect, record, store, share and/or process your personal information, including, without limitation, your contact details, background information, financial data, tax residency and other information relevant to your application for the product and / or services or which you have provided in this form for any and/or all of the following purposes (“Purpose”), if applicable:

- (i) providing this product and/or service and notifying you about important changes or developments to the features;
- (ii) updating and managing the accuracy of RHB Banking Group's records;
- (iii) prevention, detection or prosecution of crime, and complying with legal and regulatory obligations;
- (iv) assessment and analysis including credit / lending / insurance risks / behaviour scoring / market and product analysis and market research;
- (v) communications and ensuring customer satisfaction, which may include conducting surveys to improve the quality of our products and services, responding to inquiries and complaints and to generally resolve disputes;
- (vi) determining the amount of your indebtedness and recovering debt that you owe to RHBIB;
- (vii) maintaining your credit history for present and future reference;
- (viii) enabling an actual or proposed assignee of RHBIB, or participant or sub-participant of RHBIB to evaluate your transactions which are intended to be the subject of the assignment, participation or sub-participation;
- (ix) cross-selling, marketing and promotions of products and/or services of RHB Banking Group and its strategic alliances;
- (x) for RHBIB's corporate events (including networking events, launching of products, etc.) /contests, of which photographs / images of you may be captured and may be used for RHBIB's publications; or
- (xi) protecting RHB Banking Group's interests and other ancillary or related purposes.

You further consent to and acknowledge that RHBIB may disclose your personal information (or sensitive personal information, if applicable) to other companies within the RHB Banking Group, service providers, merchants and strategic partners, vendors including debt collection agencies, professional advisers, industry/financial related associations, credit bureaus or credit reporting agencies and fraud prevention agencies, governmental agencies, other financial institutions and any of their respective agents, servants and/or such persons, whether located within or outside Malaysia for the Purpose, if applicable, subject at all times to any laws (including regulations, standards, guidelines and/or obligations) applicable to RHB.

You may exercise your options in respect of receiving marketing materials including cross-selling, marketing and promotions as described above (“Marketing Messages”) at any time by calling our call centre @ 03 – 2113 8118 or by email to support@rhbggroup.com. Kindly note that if you do not exercise your right to opt out of receiving such Marketing Messages, you will be deemed to have consented to the receiving of such Marketing Messages by RHB Banking Group, service providers, merchants and strategic partners.

You acknowledge that the RHB Banking Group may modify or update its Privacy Notice from time to time, a copy of which is available at www.rhbggroup.com and that you may channel any complaints or inquiries you may have in the manner indicated above.

DECLARATION

Declaration for Updating of Client's Particulars

I/We declare that all particulars and information given in this **Updating of Client's Particulars Form** are true and correct and that I/we have not withheld any material facts or information from RHBIB. RHBIB is entitled to fully rely on such information for all purposes, unless RHBIB receives notice in writing from me/us informing otherwise. I/We hereby undertake to furnish RHBIB with such additional particulars as RHBIB may require at any time and also undertake to inform RHBIB of any changes with regard to the particulars stated herein from time to time.

Declaration for Changes in Mode of Delivery

In consideration of RHBIB agreeing to my/our request for the issuance and delivery of contract notes/statements by way of electronic or online devices, and any other notices issued by RHBIB from time to time, I/we hereby accept and assume the risks associated with the transfer of documents/information by way of electronic or online devices and/or delivery, including delays or failure in the transmission due to breakdown or failure of transmission or traffic congestion of communications or any other cause(s) beyond your control or anticipation and/or inherent risks in receiving electronic contract notes/statements. I/We understand the risks involved in communication over the internet and/or electronic communication channel. I/We shall not dispute or challenge the validity, enforceability or admissibility of any such record and the contents therein. In the event of systems failure, I/we consent to receive the contract notes/statements via post or such other means as RHBIB deems fit and appropriate.

I/We also agree that this instruction shall be effective until revoked by me/us by giving you a newly executed form superseding this form. I/We also understand that you may cancel this email delivery service without providing any reason and/or prior notice to me/us.

I/We acknowledge that any contract notes/statements sent to me/us, whether by e-mail or by post, if sent to my/our address as given to you herein shall be deemed to be duly served on me/us within the applicable period provided for in the agreement after it is posted and/or if sent by e-mail, on the day such communication was made.

Declaration for Account Consent Maintenance in CDS system

I/We further consent to the disclosure by Bursa Malaysia Depository Sdn. Bhd. (“Bursa Depository”) to RHBIB, and to such agents, service providers and sub-contractors of RHBIB as informed by RHBIB to Bursa Depository, of information or documents relating to my / our affairs and in particular, relating to my / our account(s) but not limited to balances, account particulars and / or transactions. This consent shall be valid until revoked by me / us and such revocation is accepted by RHBIB. I / We hereby release Bursa Depository from any loss or liability arising from or in connection with this authorization.

I/We shall assume all responsibility or liability whatsoever for any direct or consequential loss arising from or in connection with you acceding to my/our above request. I/We further agree to fully indemnify RHBIB and hold RHBIB harmless from and against all actions, proceedings, claims, demands, losses, damages, costs, penalties, fines, charges and expenses which RHBIB may sustain, incur and be liable to in consequence of or attributable to or arising from the above request.

Signature of Client (Individual) / Authorised Signatory (Corporate) :	Signature of Joint Applicant: (If applicable) :
Name of Client / Authorised Signatory :	Name of Joint Applicant :
NRIC / Passport No. of Authorised Signatory (Corporate) :	Date :
Designation of Authorised Signatory (Corporate) :	Please affix corporation stamp (for Corporate Client) :
Date :	

FOR OFFICE USE ONLY

- Reasons of update Change in information provided by customers Data input / classification / error reporting
 Change in business operations Technical issue

	Signature	RHBIB Staff ID & Name	Designation	RHBIB Staff Department	Date
Verified by (if applicable) :					
Approved by (if applicable) :					
Updated by :					
Checked by :					